



Division of Disability & Rehabilitative Services  
Monthly Financial Review

*Peter A. Bisbecos, Director*

# DDRS Expenditures, Forecast and Budget: Total Dollars (in thousands)

Expenses	SFY08 YTD Actual	SFY08 YTD Budget	Variance	SFY08 Forecast	SFY08 Budget	Variance
<b>Medicaid</b>						
Waivers	\$145,241	\$146,843	\$1,602	\$436,447	\$452,074	\$15,627
ICF/MR	\$89,997	\$89,124	(\$873)	\$274,537	\$273,664	(\$873)
State Plan Services/PCCM Admin Fees	\$20,193	\$20,640	\$447	\$61,949	\$64,727	\$2,778
<b>Total Medicaid (Federal &amp; State)</b>	<b>\$255,431</b>	<b>\$256,607</b>	<b>\$1,176</b>	<b>\$772,934</b>	<b>\$790,465</b>	<b>\$17,532</b>
<b>Non-Medicaid</b>						
DDRS Administration	\$288	\$200	(\$88)	\$600	\$600	\$0
Bureau of Developmental Disability Services Admin. (BDDS)	\$7,349	\$9,826	\$2,477	\$20,288	\$29,479	\$9,191
New Initiatives						
Crisis	\$2,030	\$2,600	\$570	\$6,089	\$7,800	\$1,711
OASIS	\$426	\$638	\$212	\$2,532	\$1,914	(\$618)
Outreach	\$210	\$829	\$619	\$2,488	\$2,488	\$0
Case Management*	\$0	\$0	\$0	\$2,919	\$0	(\$2,919)
State Funded Services**	\$10,092	\$8,415	(\$1,677)	\$28,978	\$25,244	(\$3,734)
Bureau of Quality Improvement Services (BQIS)	\$1,986	\$5,855	\$3,869	\$17,143	\$17,564	\$421
Bureau of Rehabilitation Services (BRS)	\$27,996	\$29,965	\$1,969	\$84,214	\$89,894	\$5,680
Bureau of Child Development (BCD)	\$20,308	\$22,586	\$2,278	\$67,758	\$67,758	\$0
Disability Determination Bureau (ddb)	\$12,358	\$12,000	(\$358)	\$36,000	\$36,000	\$0
<b>Total Non-Medicaid (Federal &amp; State)</b>	<b>\$83,043</b>	<b>\$92,914</b>	<b>\$9,871</b>	<b>\$269,008</b>	<b>\$278,741</b>	<b>\$9,733</b>
<b>Total Medicaid &amp; Non-Medicaid (Federal &amp; State)</b>	<b>\$338,474</b>	<b>\$349,521</b>	<b>\$11,046</b>	<b>\$1,041,942</b>	<b>\$1,069,206</b>	<b>\$27,265</b>
<b>Total Medicaid &amp; Non-Medicaid (State)</b>	<b>\$118,904</b>	<b>\$125,318</b>	<b>\$6,414</b>	<b>\$371,162</b>	<b>\$375,954</b>	<b>\$4,791</b>

\* Budget of \$8.4M in Medicaid Assistance for Case Management; actual expenses currently paid from Medicaid Admin.

\*\* Budget is less the transfer to Medicaid Assistance from Residential Services - \$100.9M and Day Services - \$9.5M

# DDRS Budget v. Forecast (in thousands): Medicaid & Non-Medicaid Variance

	State %	SFY08 Forecast (State)	SFY08 Budget (State)	Variance
<b>Waivers</b>				
Autism	37.4%	\$5,059	\$5,177	\$118
Developmental Disability	37.4%	\$148,713	\$152,850	\$4,138
Support Services	37.4%	\$9,328	\$10,912	\$1,584
<b>ICF/MR</b>				
Small Group Homes (SGL)	37.4%	\$96,126	\$95,875	(\$251)
Large Private Facilities	37.4%	\$6,469	\$6,394	(\$75)
PCCM Admin Fees	37.4%	\$49	\$55	\$6
State Plan Services	37.4%	\$23,102	\$24,134	\$1,032
<b>Total Medicaid (State)</b>		<b>\$288,845</b>	<b>\$295,397</b>	<b>\$6,552</b>
<b>DDRS Admin</b>	100.0%	\$600	\$600	\$0
<b>BDDS Admin</b>				
BDDS Admin	69.0%	\$12,931	\$18,690	\$5,759
Caregiver Support	100.0%	\$399	\$1,350	\$951
Comm. Res. Facilities Council	100.0%	\$3	\$6	\$3
Diagnosis & Evaluation	100.0%	\$284	\$175	(\$109)
Epilepsy	100.0%	\$461	\$461	\$0
Guardianship	100.0%	\$400	\$400	\$0
Crisis	60.0%	\$3,653	\$4,680	\$1,027
OASIS	50.0%	\$1,266	\$957	(\$309)
Outreach	50.0%	\$1,244	\$1,244	\$0
Case Management	100.0%	\$2,919	\$0	(\$2,919)
State Funded Residential Services	100.0%	\$16,906	\$7,518	(\$9,388)
State Funded Day Services	39.5%	\$4,768	\$7,002	\$2,233
BQIS	69.0%	\$11,829	\$12,119	\$290
<b>VR</b>				
ATTAIN	24.8%	\$148	\$333	\$185
VR Employee Training	10.0%	\$6	\$6	\$0
VR Operating	21.3%	\$14,897	\$15,415	\$518
Aid to Independent Living	10.0%	\$67	\$47	(\$20)
CIL's	100.0%	\$2,501	\$2,501	\$0
<b>BVIS</b>				
Blind Operating	21.3%	\$82	\$82	\$0
Blind Vending	21.3%	\$291	\$291	\$0
Independent Living for the Blind Elderly	9.0%	\$64	\$64	\$0
<b>DHHS</b>	81.2%	\$312	\$329	\$17
<b>First Steps</b>	9.3%	\$6,287	\$6,287	\$0
<b>Total Non-Medicaid (State)</b>		<b>\$82,317</b>	<b>\$80,557</b>	<b>(\$1,760)</b>
<b>Total Medicaid &amp; Non-Medicaid (State)</b>		<b>\$371,162</b>	<b>\$375,954</b>	<b>\$4,791</b>

# DDRS Key Initiatives

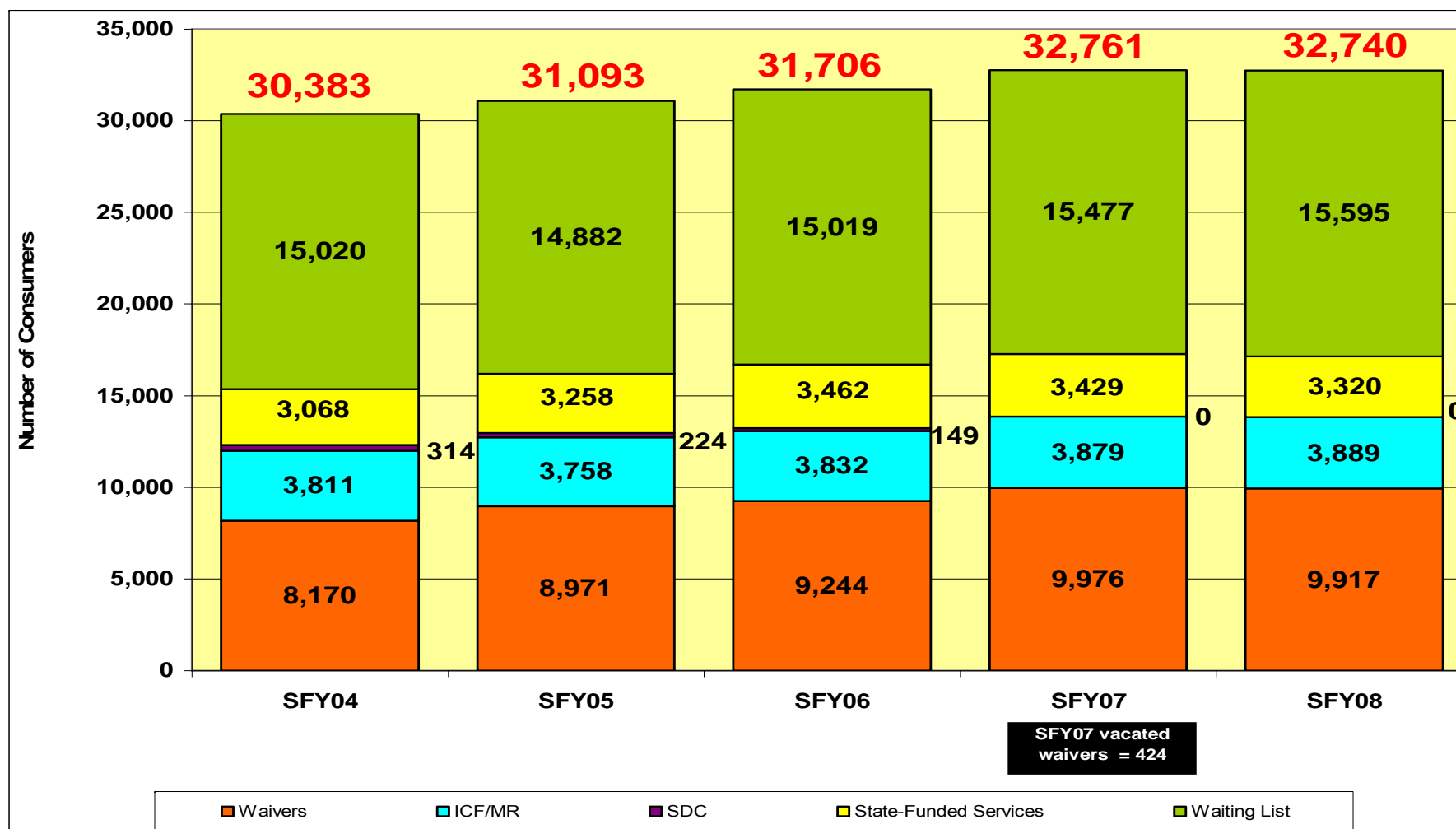
## ➤ **Financial Management**

- OASIS
- ESN Group Home Licensure

## ➤ **Program Management**

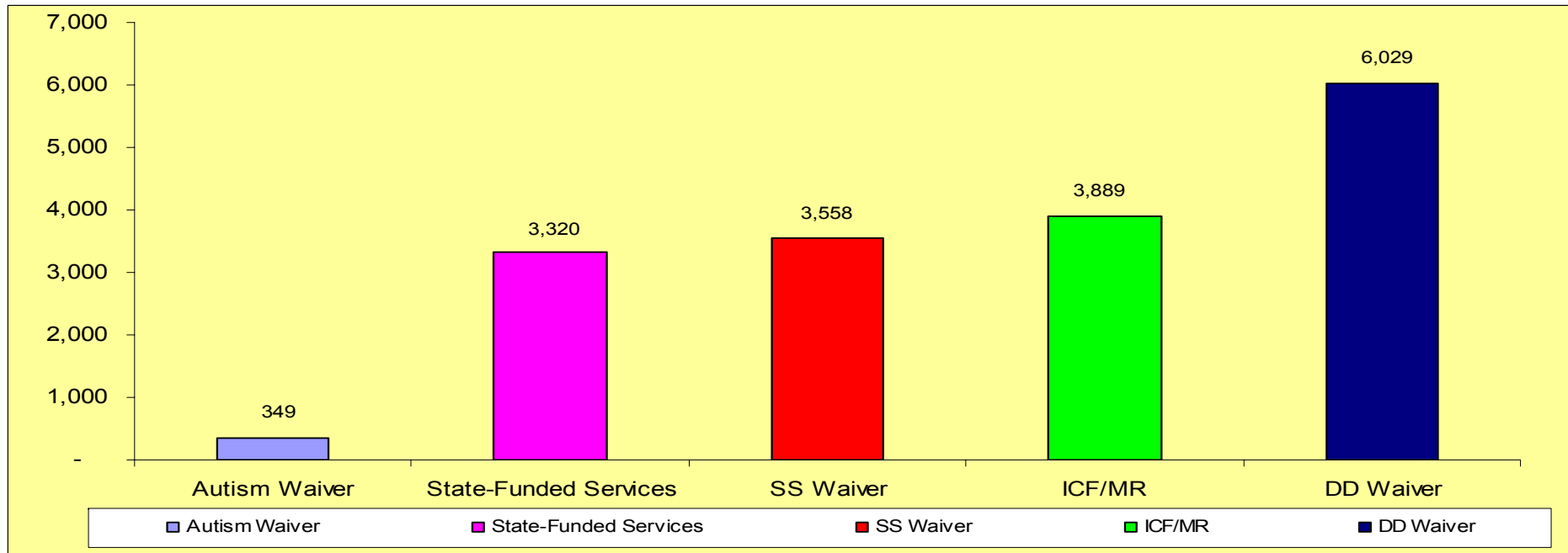
- Crisis Management
- Outreach
- INTrain
- BQIS
- ESN Group Homes

# All Consumers Receiving Service Actual Placements and Waiting List



\* Waiver Year End: Support Services - 3/31; Developmental Disabilities - 9/30; Autism - 12/31.

# Consumers Receiving Service by Setting SFY08 – October 2007

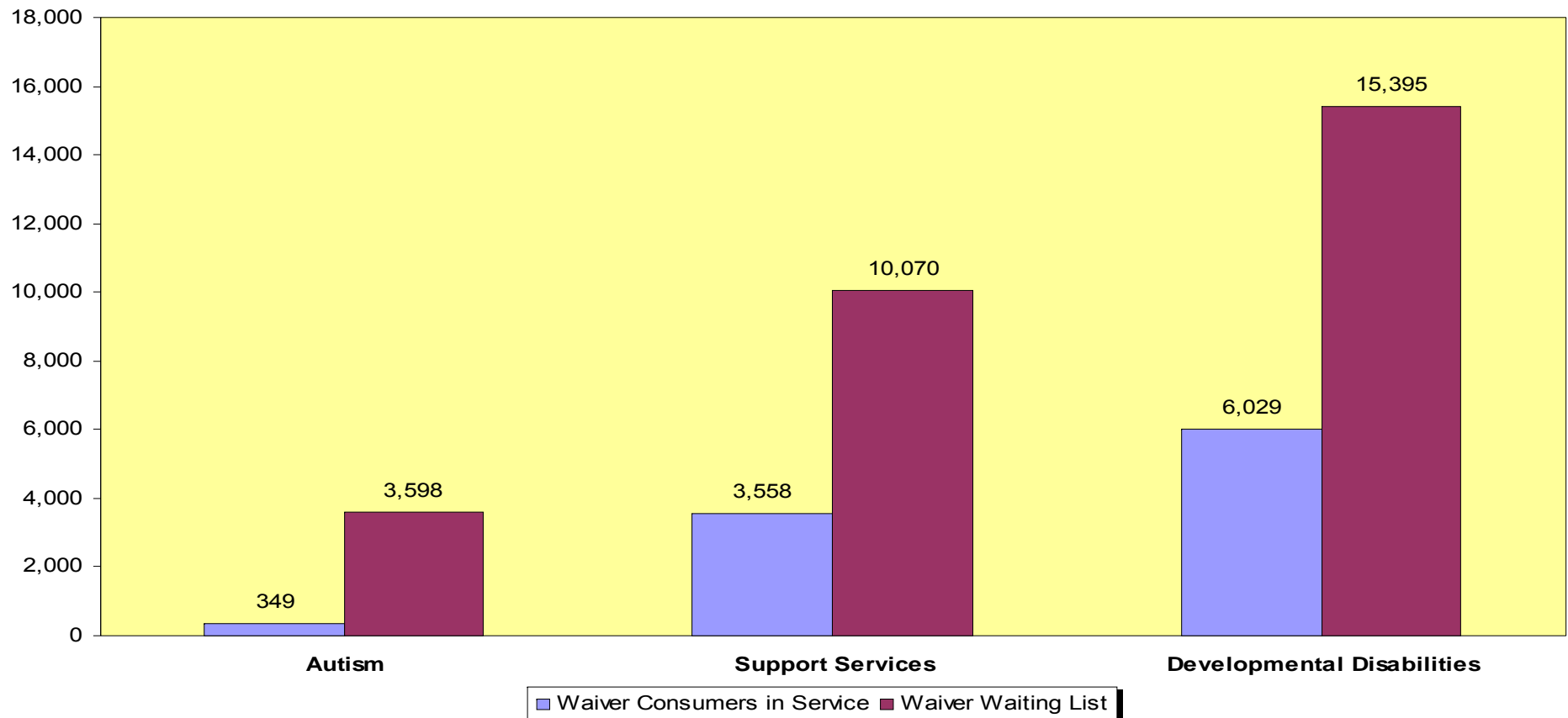


## **State-Funded Services includes:**

Community Supports	1,911
Nursing Facility Supports	618
Caregiver Supports	432
Budgets with Services Only	328
Emergency Support	13
Budgets with RLA & Services	0
Child Foster Care	4
Adult Foster Care	14

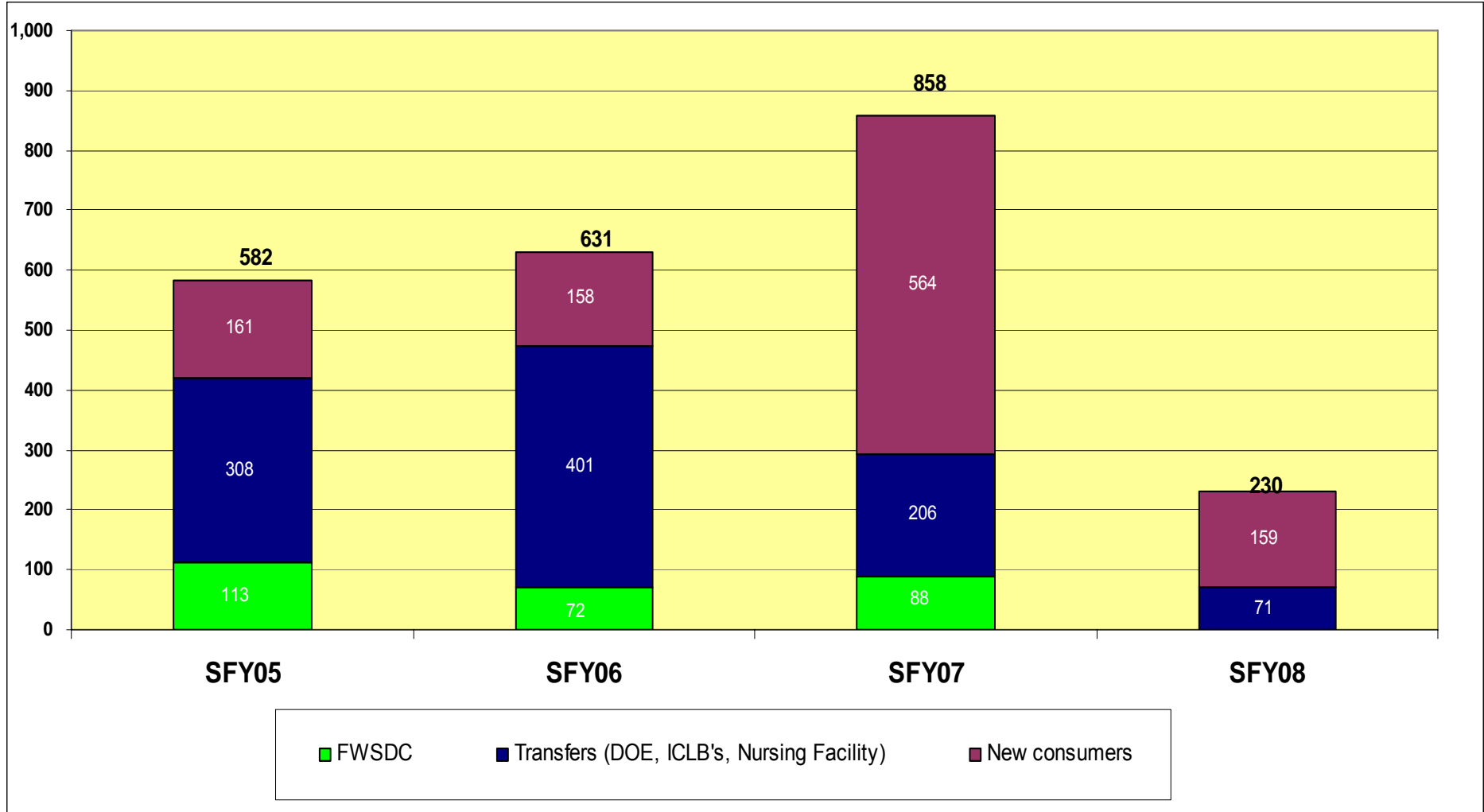
<b>Total</b>	<b>3,320</b>
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# Waiver Consumers in Service & Waiting List SFY08 – October 2007



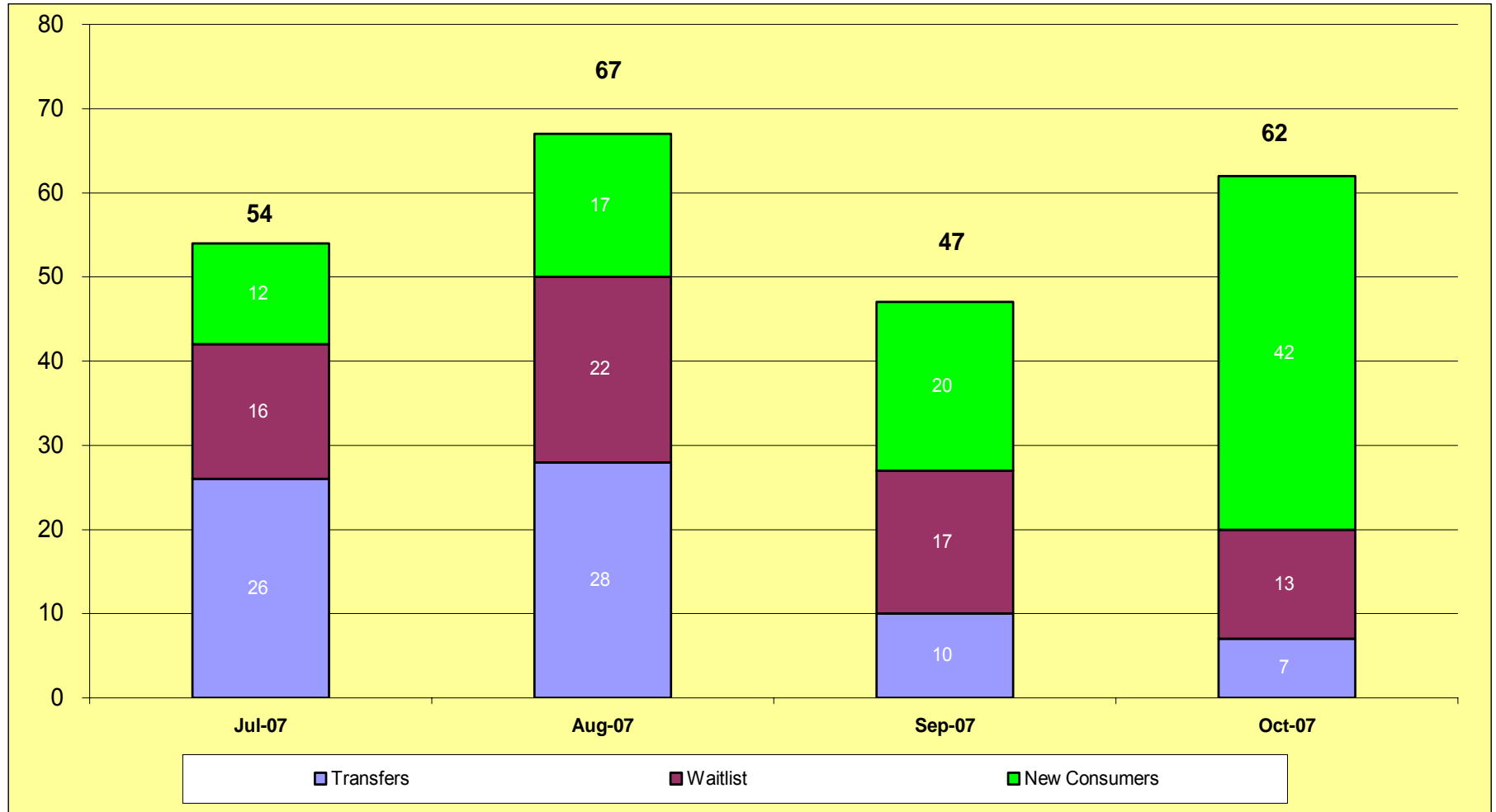
\* Those individuals on the waiting list can be duplicative, as these individuals may be on all three waiting list, which DDRS encourages.

# New Waiver Consumers in Service by SFY SFY05 – SFY08

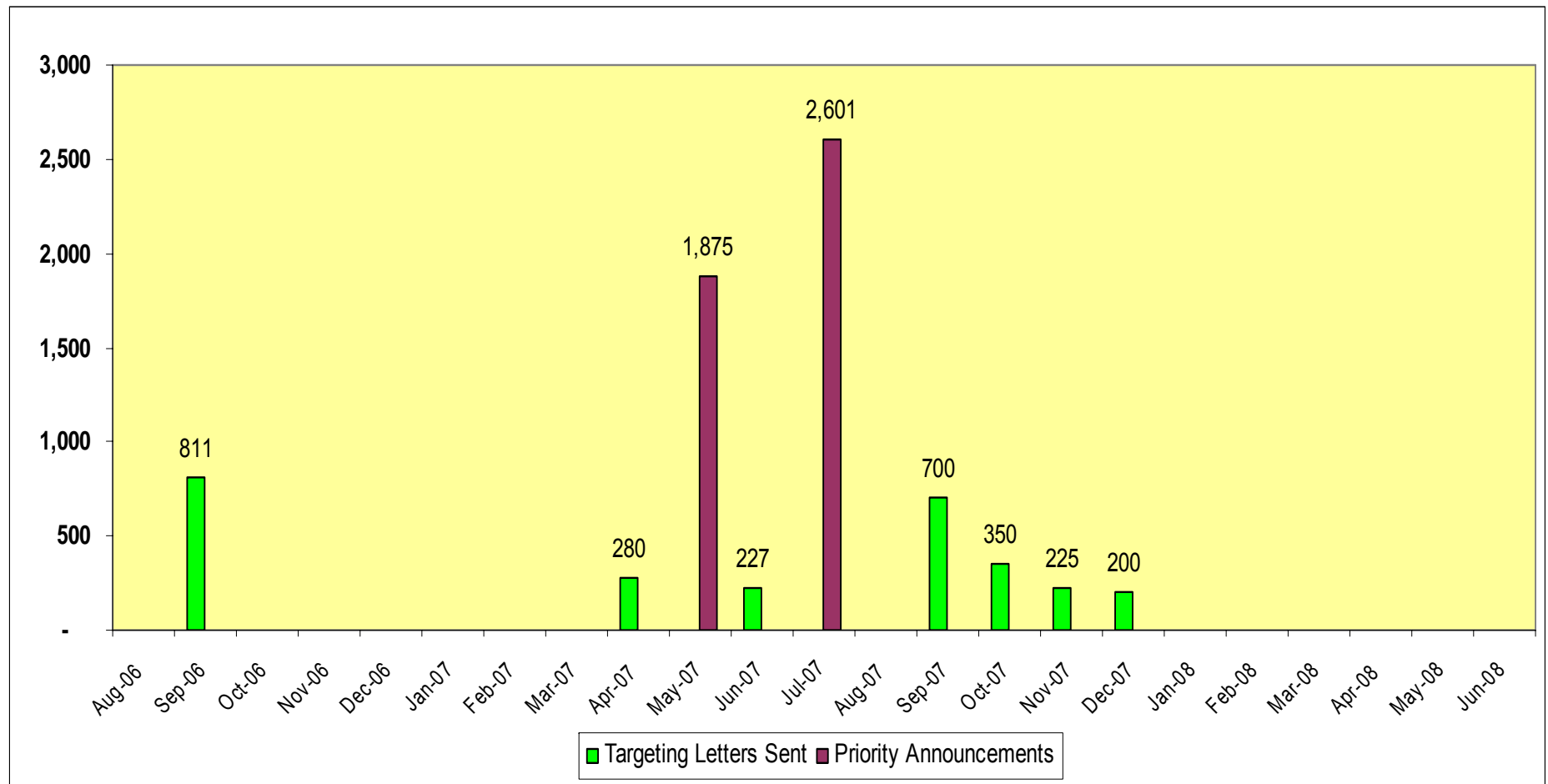




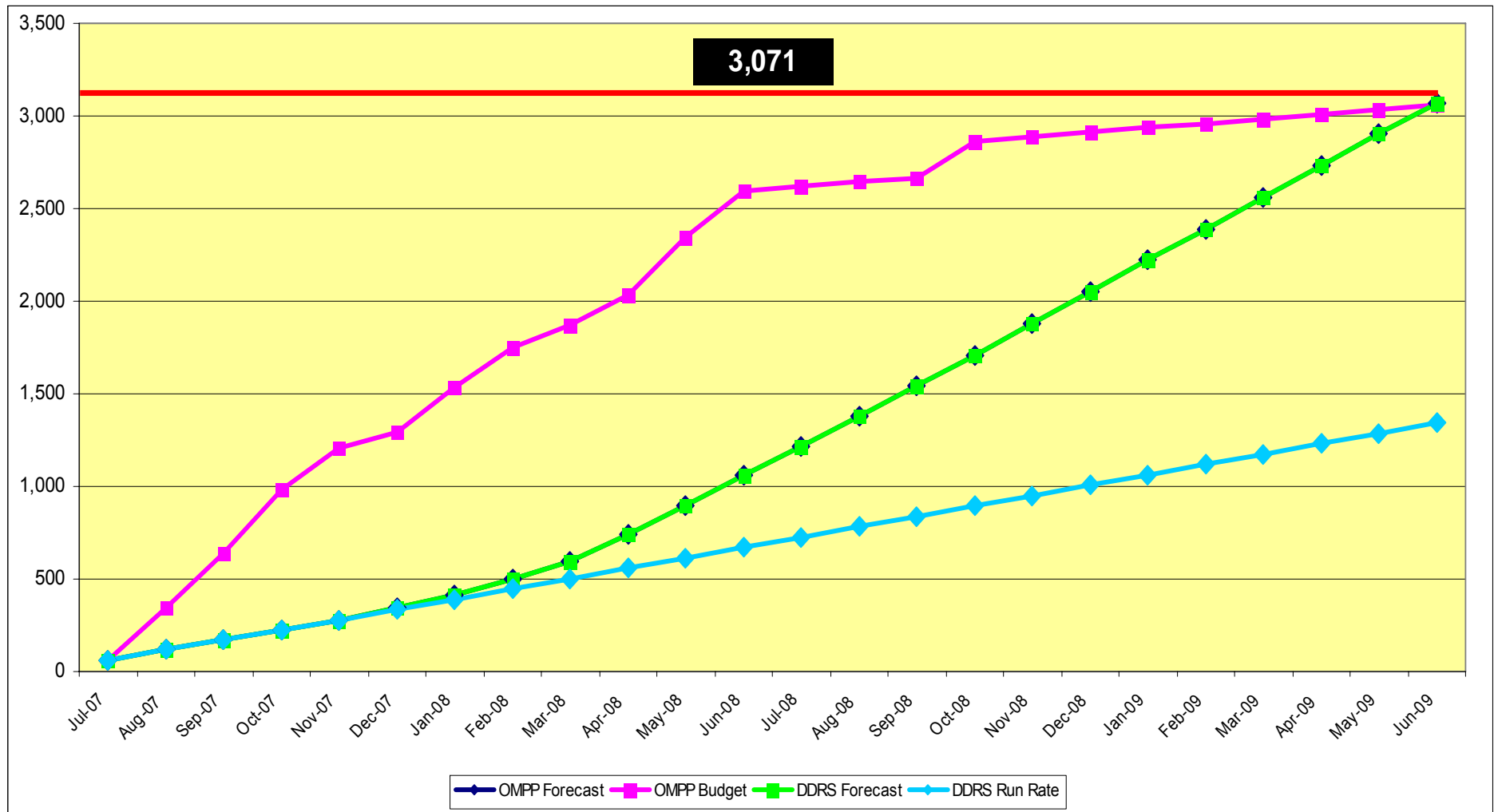
# New Waiver Consumers in Service by Month SFY08 – October 2007



# Waiver Targeting by Month SFY07 – SFY08



# Projected New Waiver Consumers in Service SFY08 – SFY09



# Consumers Receiving Service Actual and Forecast

## Expenditures by State Fiscal Year\*

	SFY04	SFY05	SFY06	SFY07	<i>Forecast</i>	
	SFY04	SFY05	SFY06	SFY07	SFY08	SFY09
ICF/MR Expenditures	\$ 233,859	\$ 244,497	\$ 248,225	\$ 258,493	\$ 274,537	\$ 286,484
ICF/MR Consumers	3,811	3,758	3,878	3,931	4,019	4,050
<b>Average Cost per Consumer</b>	<b>\$ 61.36</b>	<b>\$ 65.06</b>	<b>\$ 64.01</b>	<b>\$ 65.76</b>	<b>\$ 68.32</b>	<b>\$ 70.73</b>

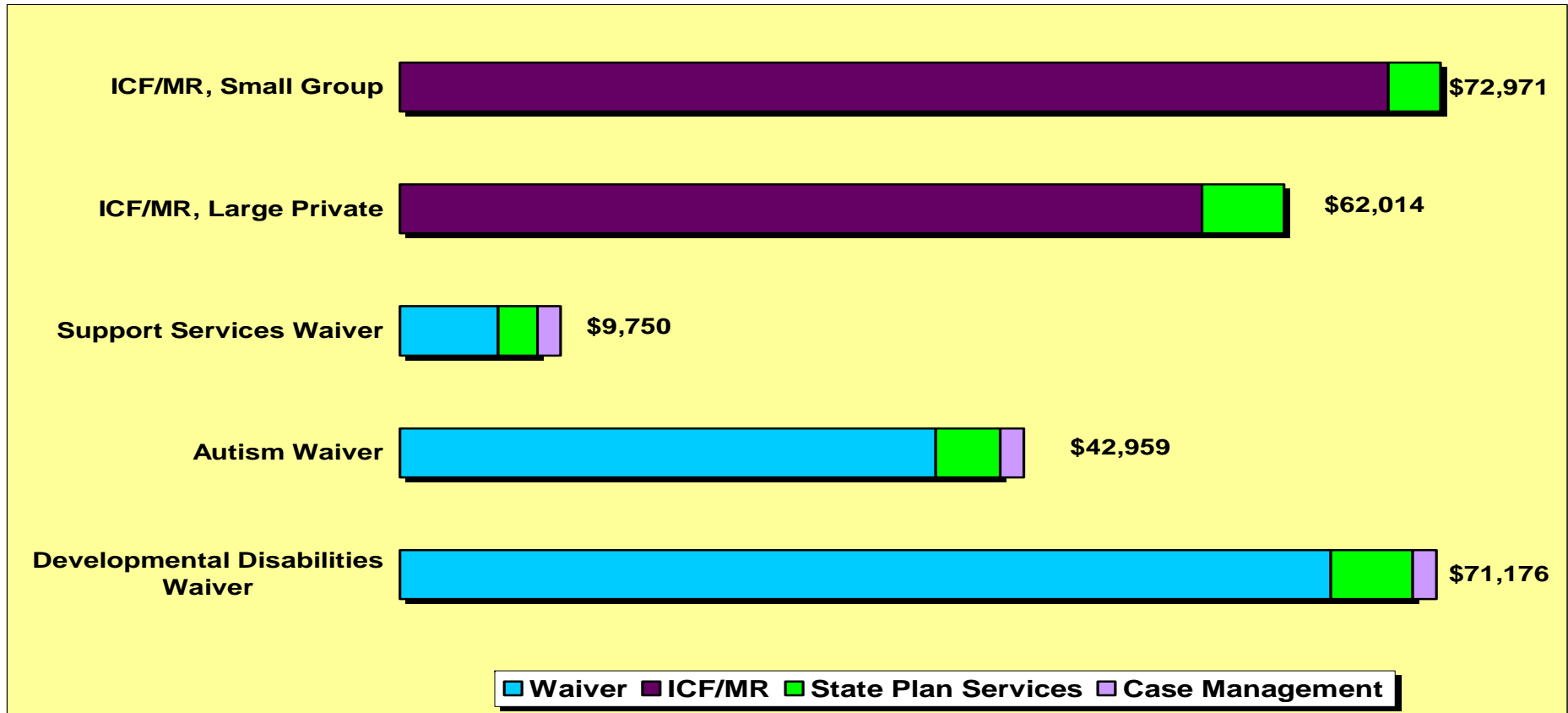
	SFY04	SFY05	SFY06	SFY07	<i>Forecast</i>	
	SFY04	SFY05	SFY06	SFY07	SFY08	SFY09
Waiver Expenditures	\$ 368,000	\$ 379,000	\$ 383,305	\$ 402,744	\$ 436,447	\$ 469,651
Waiver Consumers	8,170	8,971	9,065	9,429	10,074	11,365
<b>Average Cost per Consumer</b>	<b>\$ 45.04</b>	<b>\$ 42.25</b>	<b>\$ 42.28</b>	<b>\$ 42.71</b>	<b>\$ 43.32</b>	<b>\$ 41.33</b>

\*Expenditures (in thousands)

### Note

- \*Does not include State Plan Service Expenditures for these recipients.
- \*Consumers are Medicaid enrollees with the appropriate level of care.
- \*ICF/MR includes only Small Group Homes and Large Private Facilities (does not include State Facilities).
- \*Waivers include the DD, SS, and Autism waivers.
- \*ICF/MR forecast includes 3% annual increase.

# Average Annual Cost per Consumer



## Notes:

- \*Cost is shown per enrolled consumer, consistent with costs shown on the MFR.
- \*Prescription Drugs is by far the largest State Plan Service expenditure for these populations.
- \*Costs do not include Oasis, Buy-In, Clawback, Pharmacy Rebates, or TPL.

# Status of FWSDC Population SFY08 – October 2007

**210 Consumers in Community & SOF's**

**State Savings from closure of FWSDC: \$24 Million**

## **Current Medicaid Costs**

Waiver - \$8.4M  
Medically Fragile Group Home: \$.8M  
Development Group Home: \$.1M  
Nursing Facility: \$.1M

**Total Medicaid Costs \$9.4M**

**Medicaid State Savings from  
Transition of FWSDC: \$14.6M**

## **Current State "New" Costs**

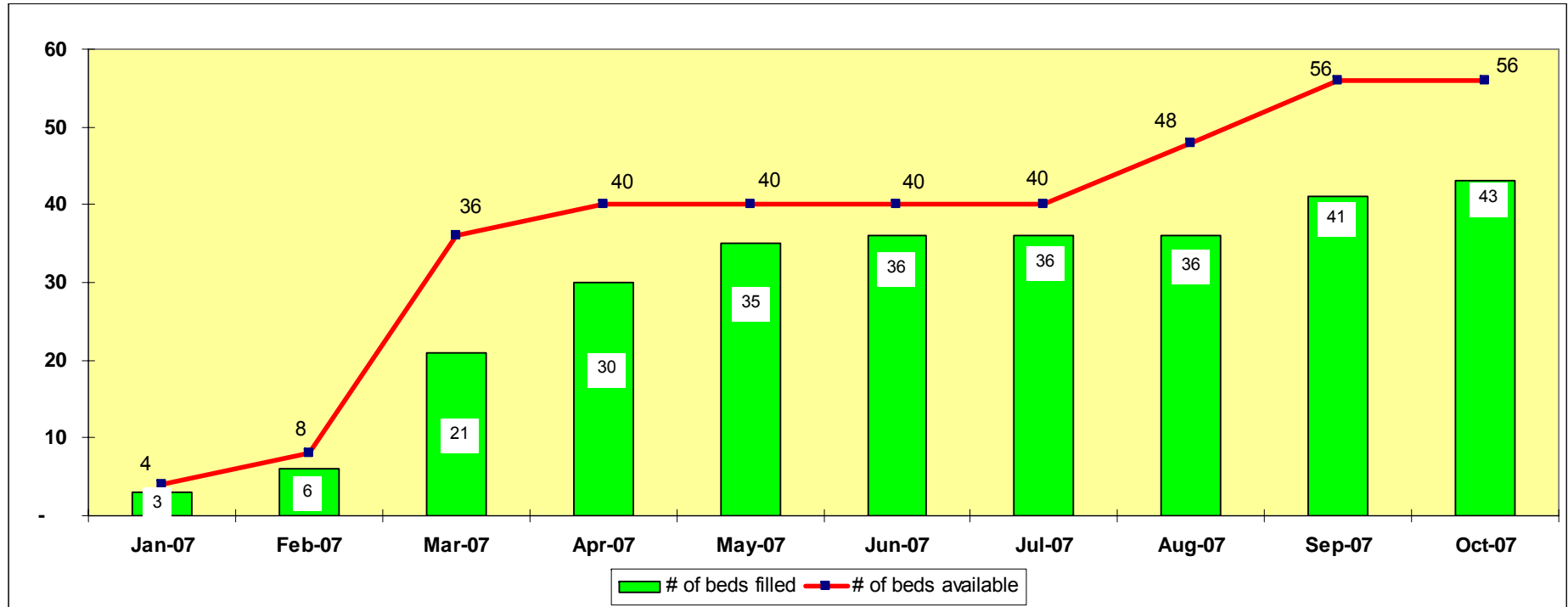
ESN Homes - \$6.9M  
(through 12/31/07)  
Out of State: \$.5M  
State Operated Facility: \$2.7M

**Total "New" Costs \$10.1M**

**New Stateline Costs from  
Transition of FWSDC: \$10.1M**

**Net Savings ► \$4.5 Million**

# Extensive Support Need (ESN) Homes Occupied v. Capacity – October 2007



* January -October 2007:	<b><u>\$1,024,100</u></b>	Stateline \$ paid for vacant beds.	} <b><u>Total Paid</u></b>
* January -October 2007:	<b><u>\$4,318,700</u></b>	Stateline \$ paid for occupied beds.	

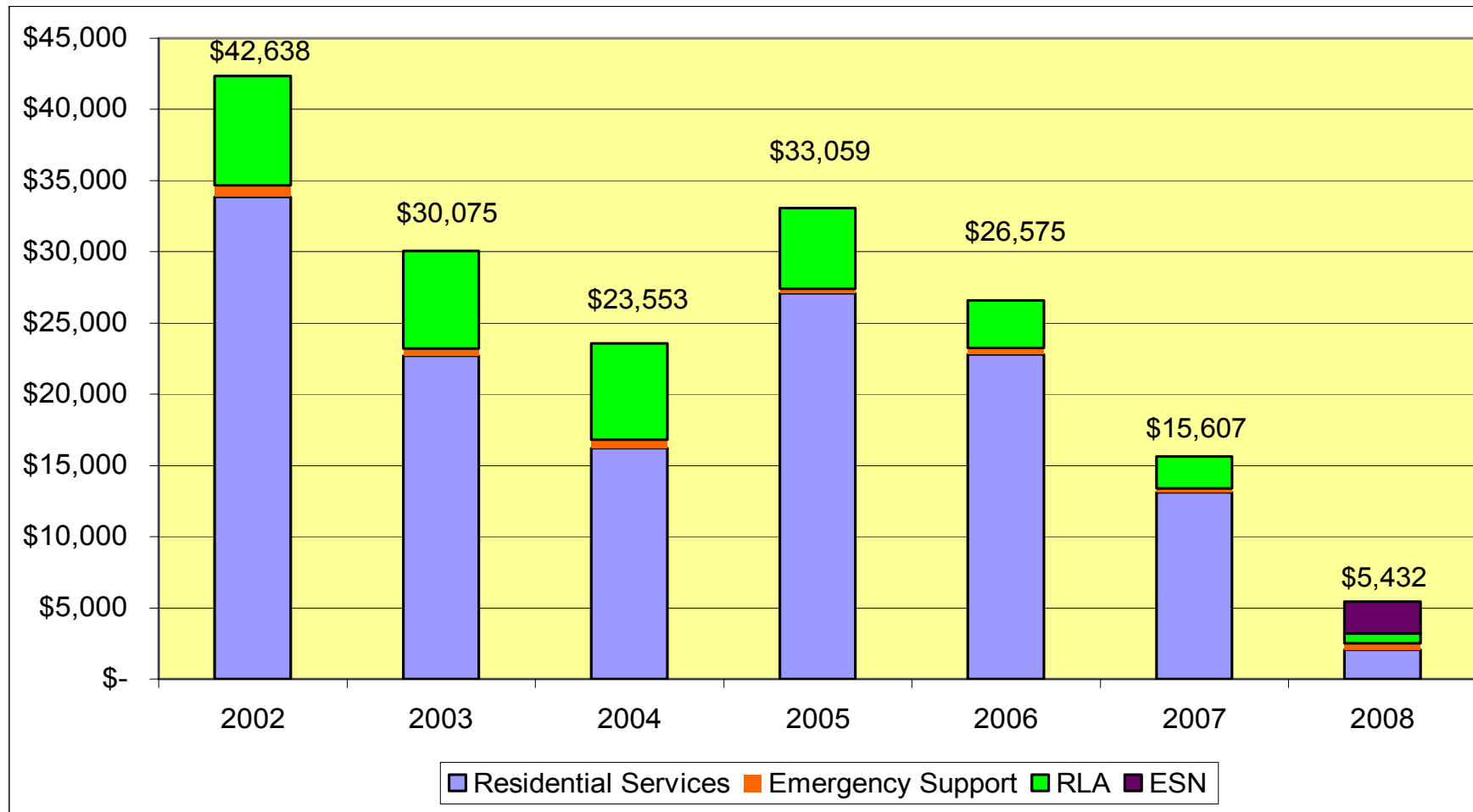
* July - October 2007:	<b><u>\$481,175</u></b>	Stateline \$ paid for vacant beds.	} <b><u>SFY08 Expenses</u></b>
* July - October 2007:	<b><u>\$2,437,225</u></b>	Stateline \$ paid for occupied beds.	

# Extensive Support Need (ESN) Homes Status Report

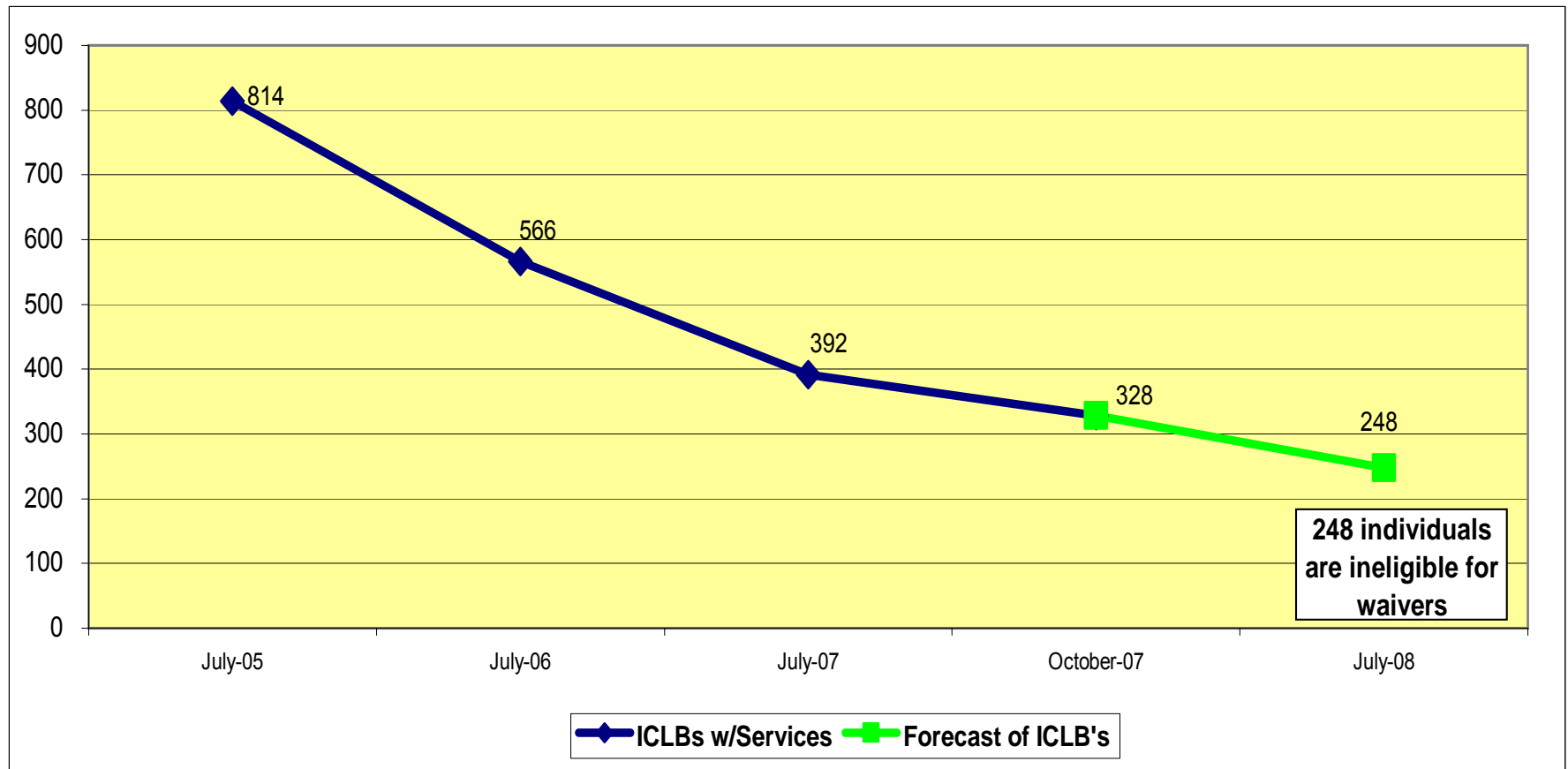
- SPA sent to CMS on 10/23/07
- ESN "Mock" surveys started by BDDS
- Rule finalized and currently at Governor's Office
- ISDH to begin surveys and license ESN settings
- SPA Approved
- ESN settings funded by Stateline become ICF/MR settings



# Residential Stateline Costs by SFY and SFY08 YTD



# ICLB's by SFY05 – SFY08

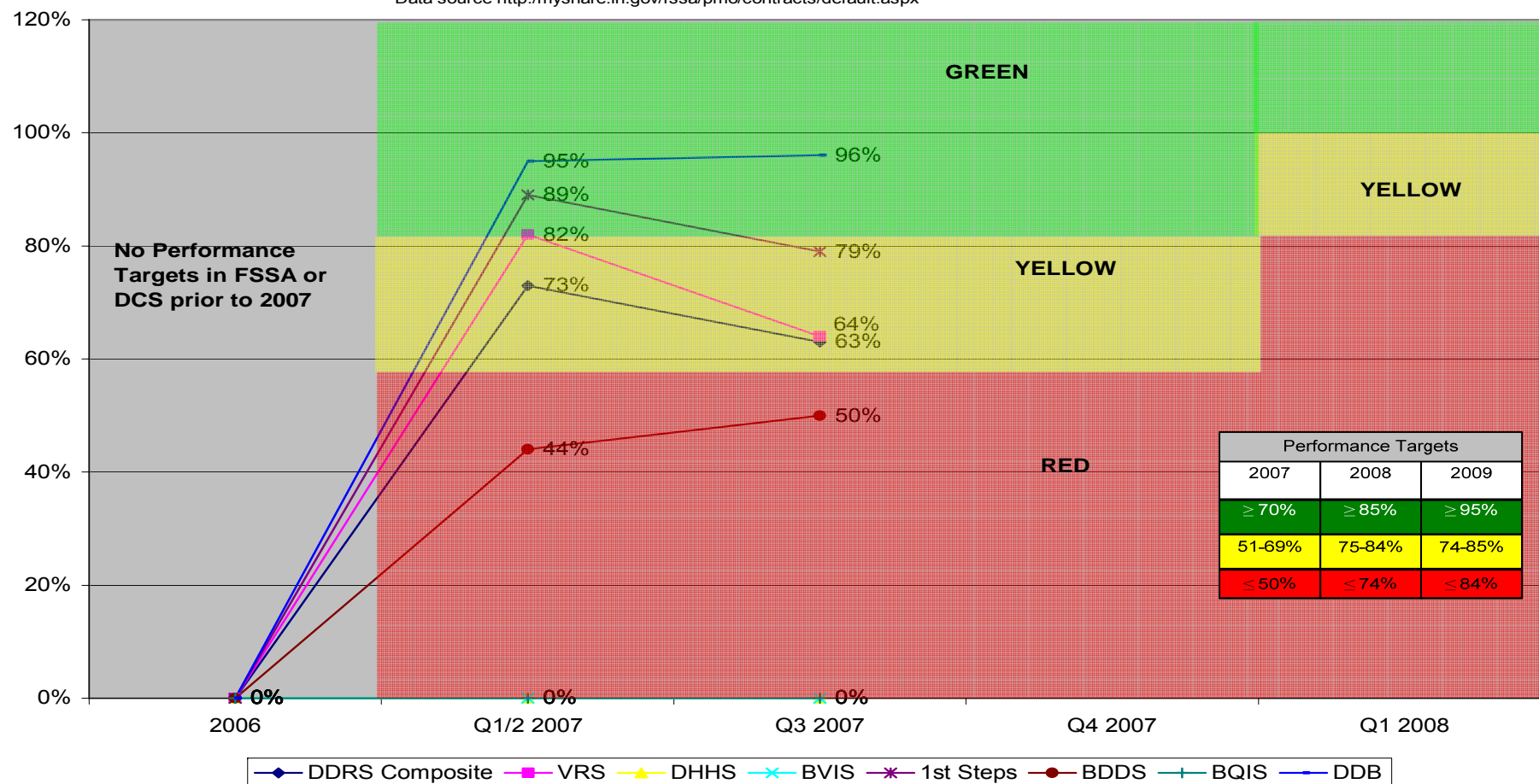


# Status of DDRS Contracts

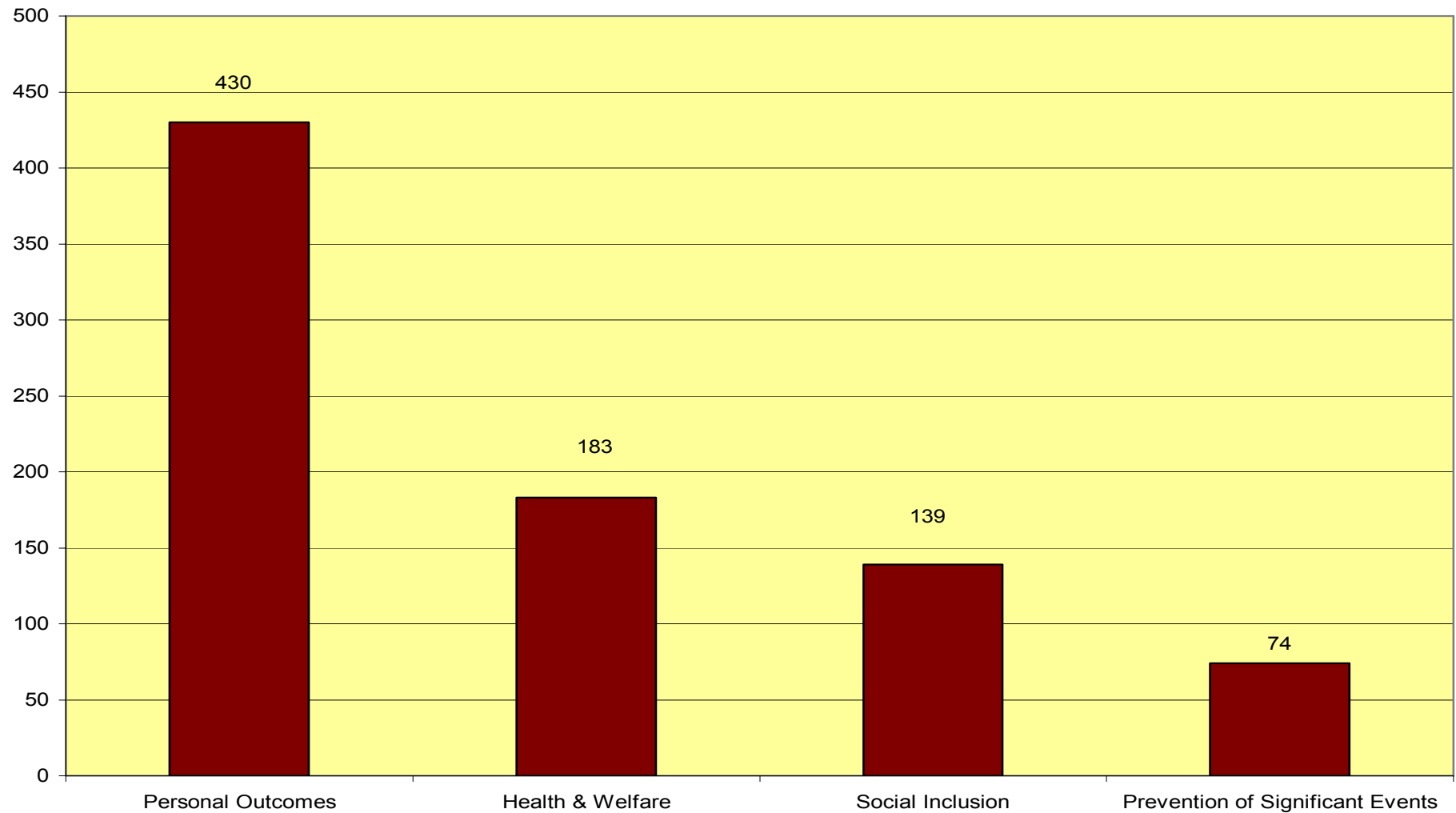
## DDRS On-Time Contracting KPI

\* Contracts are currently being tracked and monitored by the contract improvement operations team.

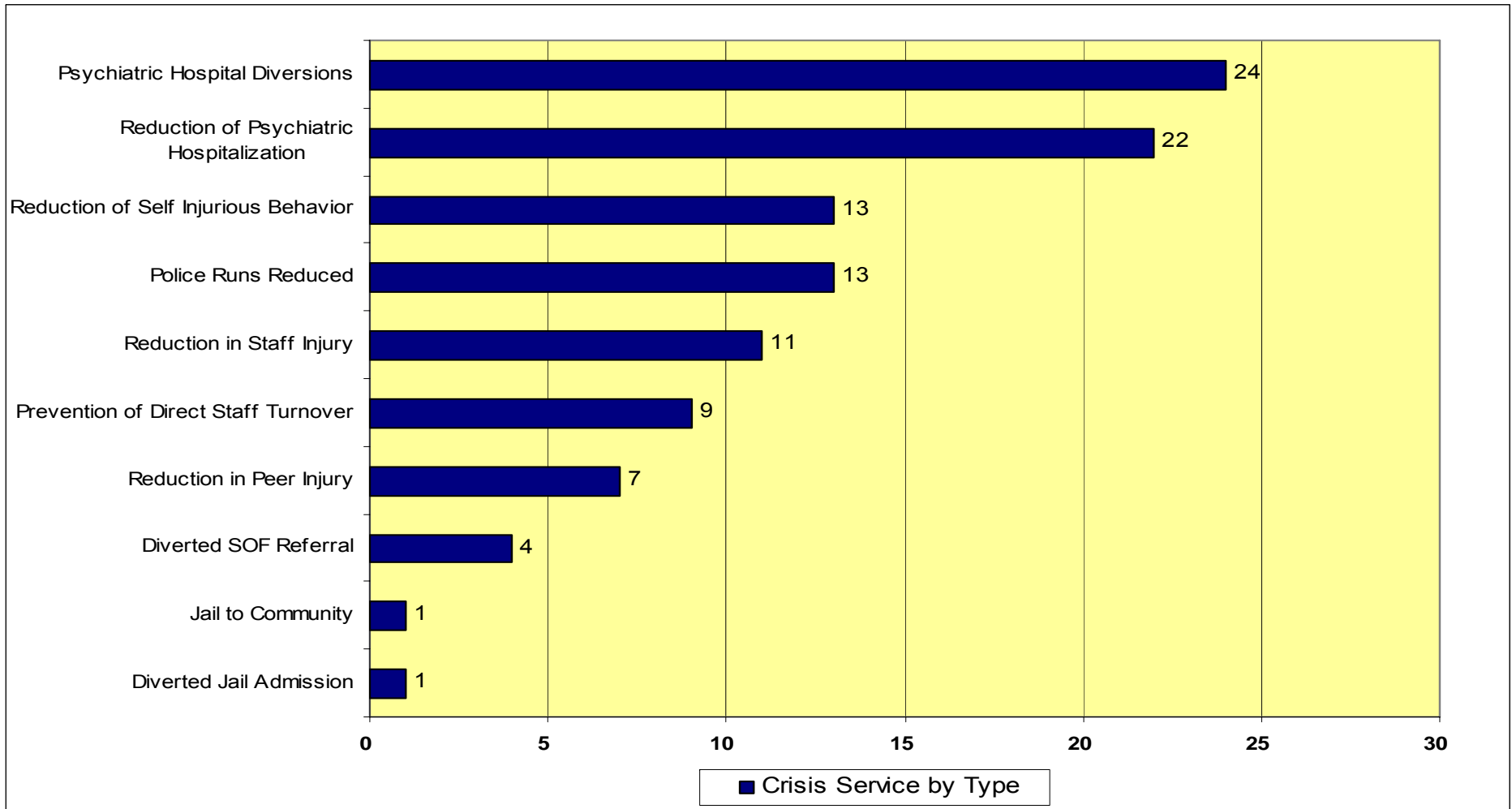
\* Data source <http://myshare.in.gov/fssa/pmo/contracts/default.aspx>



# Outreach Outcome Measures SFY08 – October 2007



# Crisis Management SFY08 – October 2007

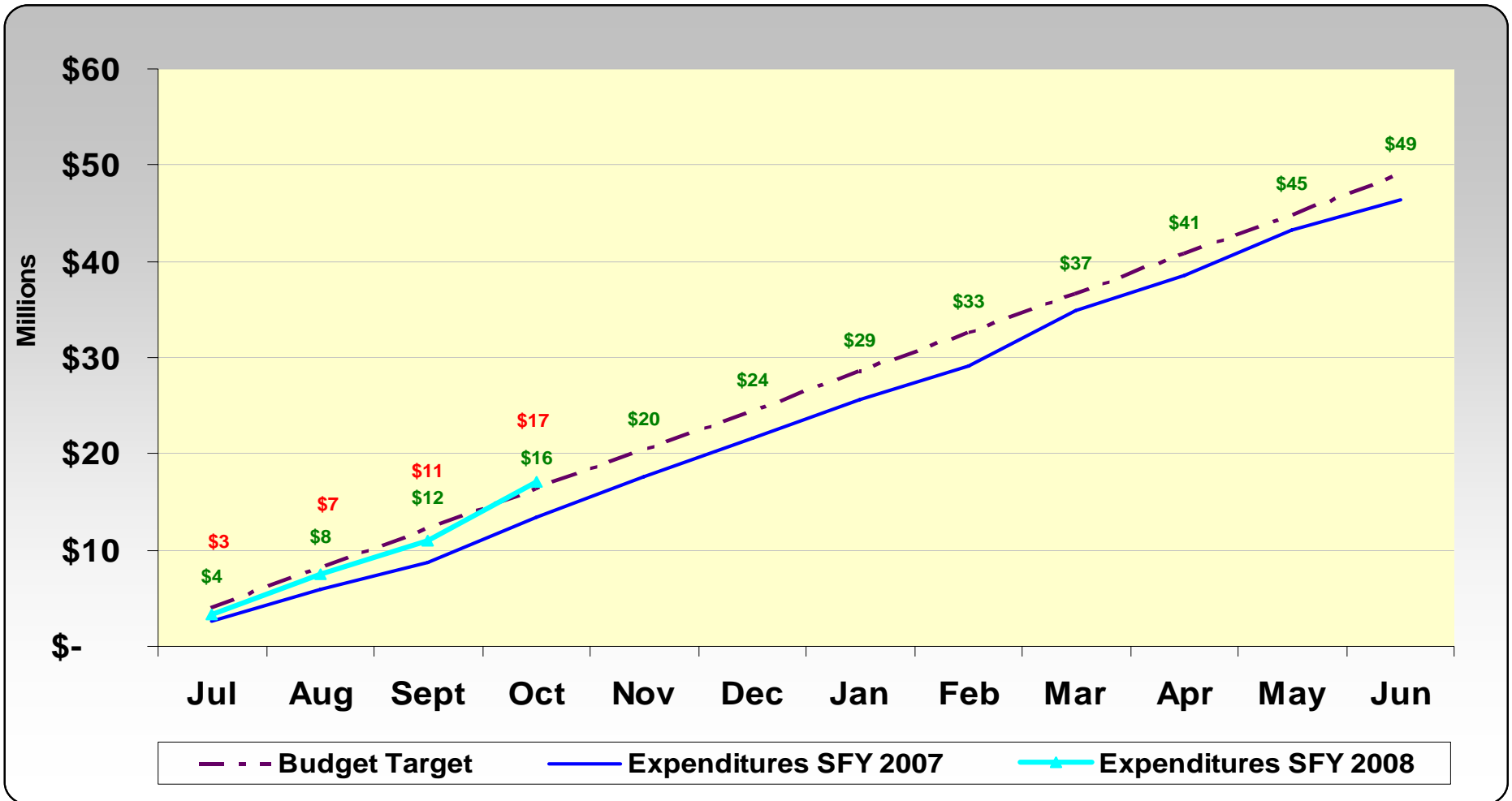


# Pilot Phase and Implementation Timeline for OASIS Initiative

**Pilot rates will be applied to agencies providing Home and Community-Based Services (HCBS) in District 4 before OASIS officially rolls out to the remainder of the state.**

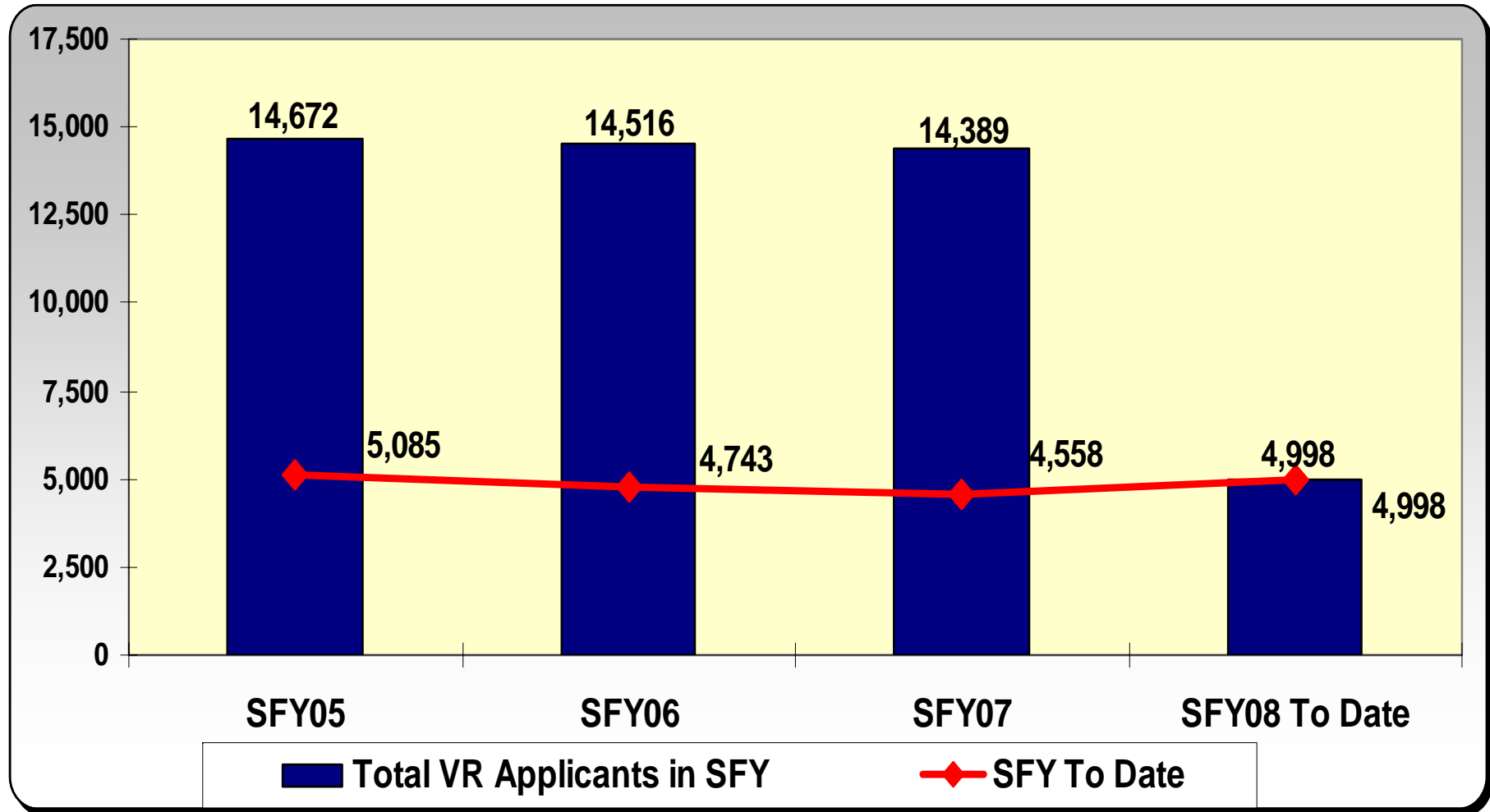
- **January 1, 2008** – District 4 uses new pilot rates for RHSS, Adult Foster Care, Respite, and Behavior Management.
- **March 1, 2008** – District 4 uses new pilot rates for Days, Supported Employment, and all other Therapies.
- **July 2008 – December 2009** – OASIS officially starts
  - Throughout December 2009, consumers will be converted to OASIS as their individual annual date comes due.
  - Right now the big question is:  
*Does the state implement the new rates on July 2008 for the whole state or in conjunction with the OASIS model?*

# VR Client Service Expenditures



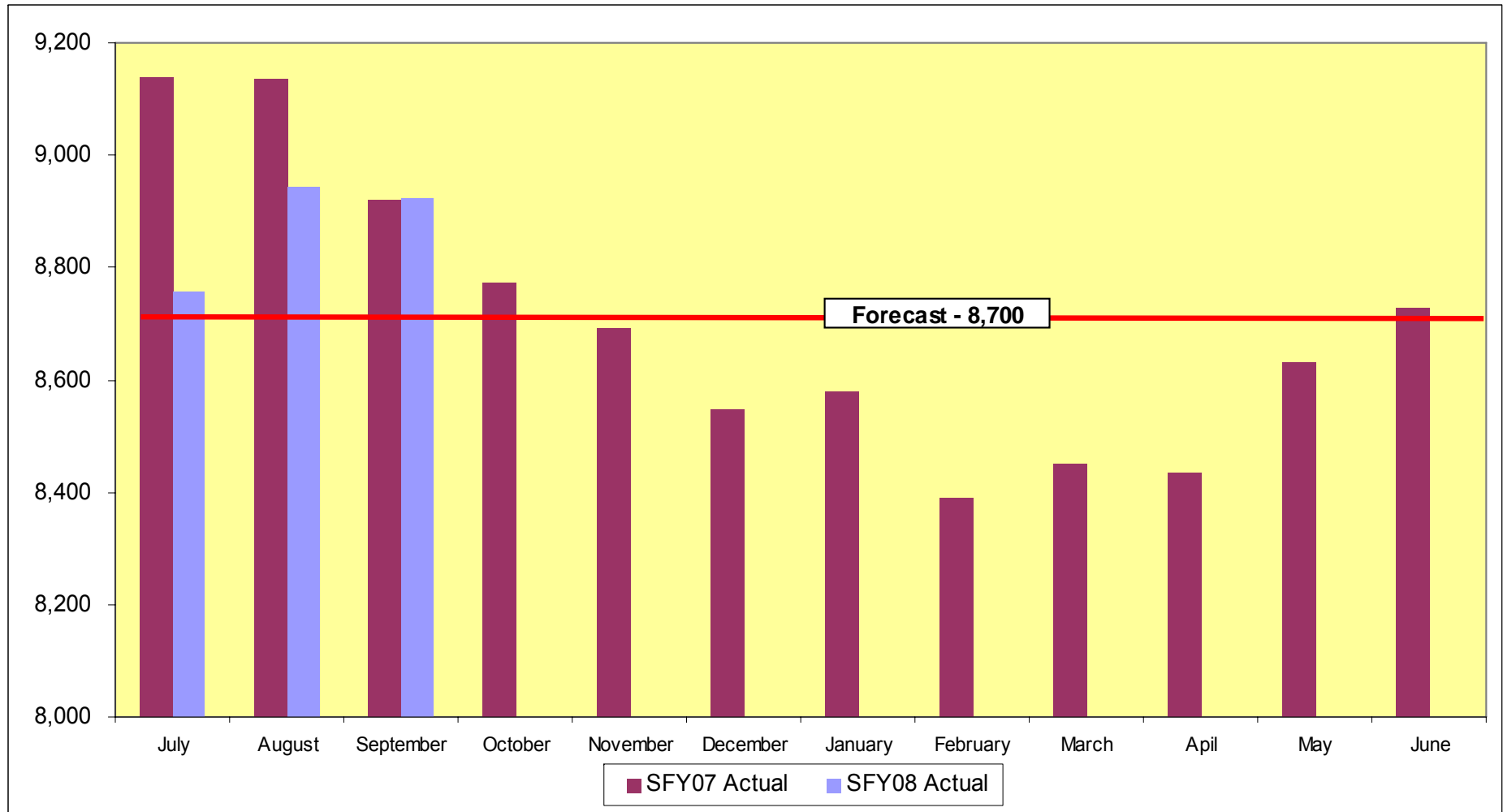
# VR Applicant Counts

## Total Applicants by SFY vs. SFY YTD

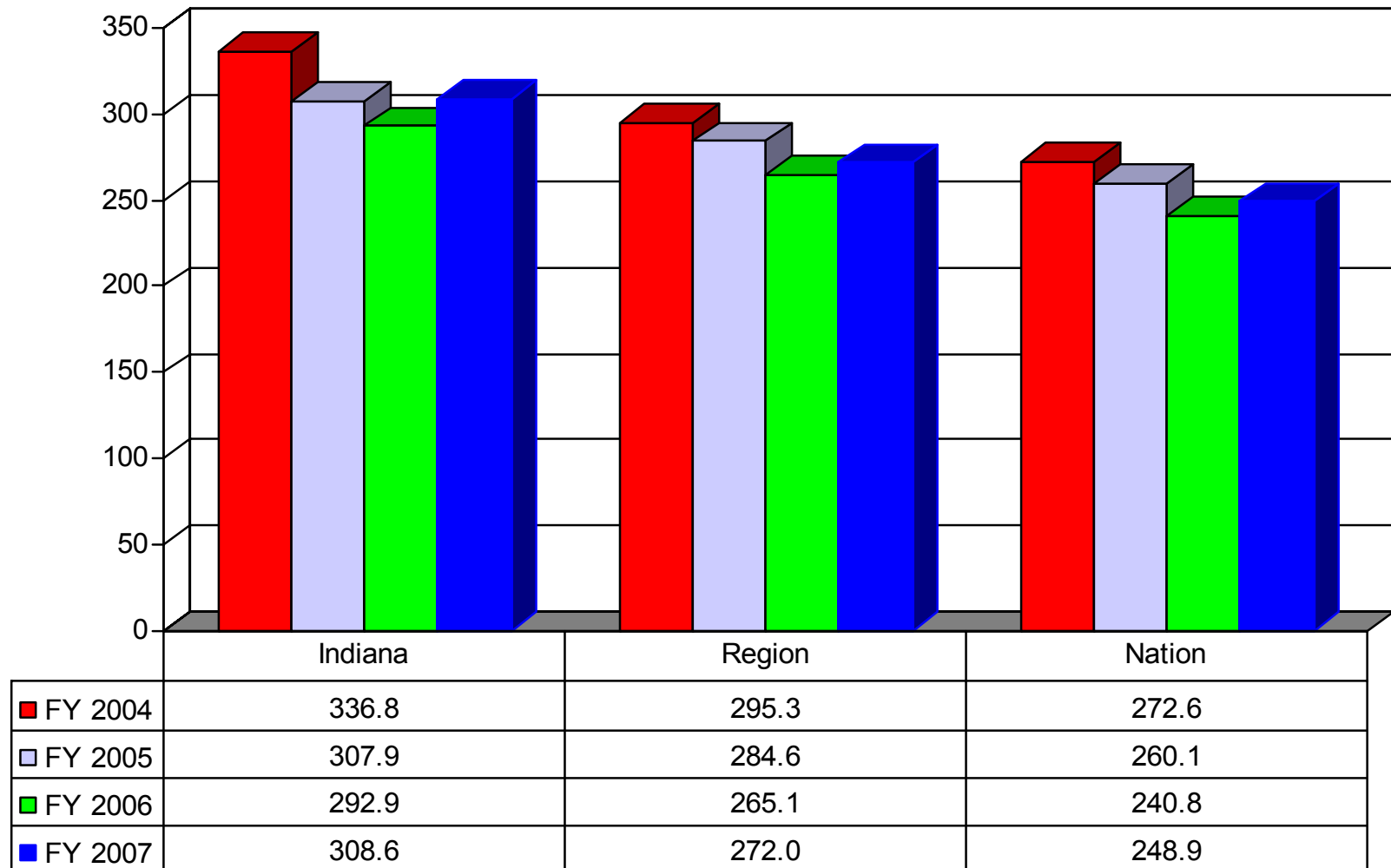




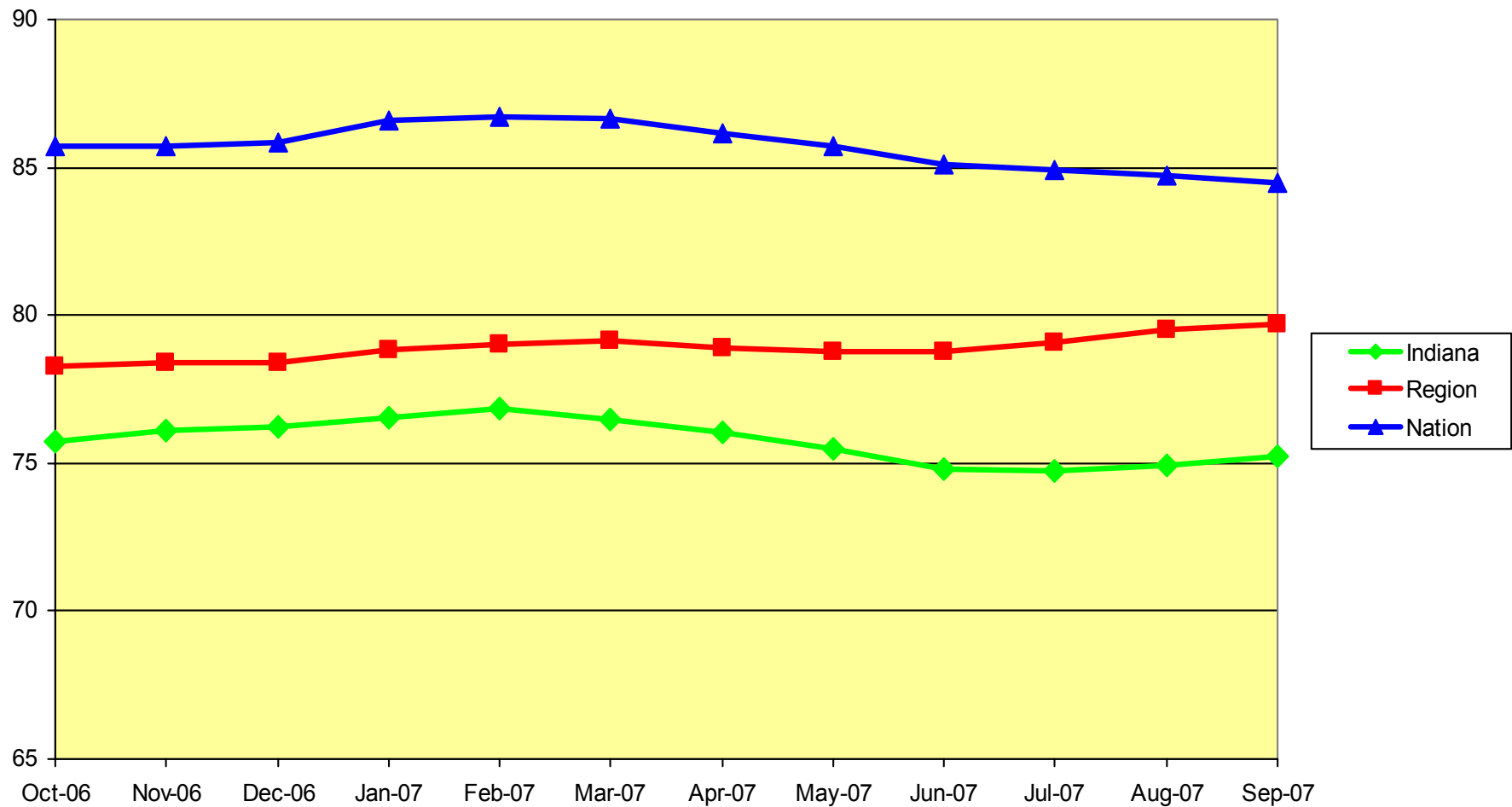
# First Steps Monthly Enrolled Children SFY07 and SFY08 Actual



# Cumulative Productivity per Work Year Indiana vs. Region and Nation



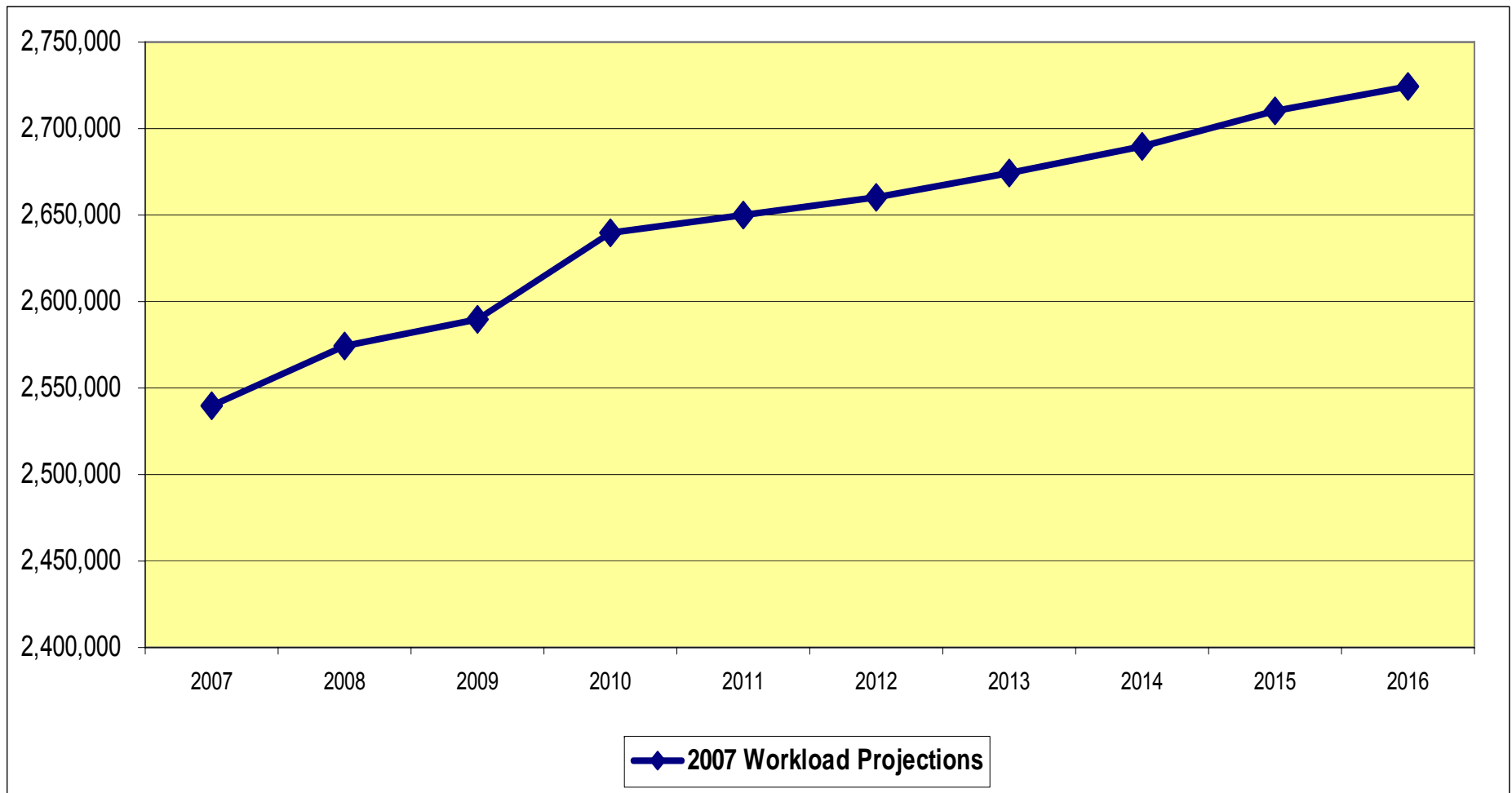
# Indiana DDB Processing Times Both Titles Combined



# Initial Claims Pending Reduction

	<b>FY07 Opening Pending</b>	<b>FY07 IC Pending Target</b>	<b>FY07 Closing Pending</b>
<b>Illinois</b>	<b>15,995</b>	<b>19,477</b>	<b>17,661</b>
<b>Indiana</b>	<b>11,646</b>	<b>12,399</b>	<b>11,988</b>
<b>Michigan</b>	<b>23,159</b>	<b>24,277</b>	<b>24,121</b>
<b>Minnesota</b>	<b>7,323</b>	<b>7,167</b>	<b>6,956</b>
<b>Ohio</b>	<b>22,384</b>	<b>29,391</b>	<b>27,715</b>
<b>Wisconsin</b>	<b>8,094</b>	<b>9,015</b>	<b>8,768</b>
<b>REGION</b>	<b>88,601</b>	<b>101,726</b>	<b>97,209</b>
<b>NATION</b>	<b>555,166</b>	<b>576,758</b>	<b>555,317</b>

# Projected Increase in Disability Claims



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